

WINTERFLOOD BUSINESS SERVICES MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019**MiFID II RTS 28 Report (Retail Client)**

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	29.79 %	35.00 %	0.00 %	0.00 %	0.00 %
NUMIS SECURITIES LIMITED 213800P3F4RT97WDSX47	18.39 %	11.61 %	0.00 %	0.00 %	0.00 %
PEEL HUNT LLP 5493007DWN0R4YBM4C84	15.63 %	13.55 %	0.00 %	0.00 %	0.00 %
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800ITO7QMNQ9SFG53	11.17 %	16.61 %	0.00 %	0.00 %	0.00 %
FINNCAP LTD 213800DSWHX26RRA1N75	5.94 %	2.34 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

WINTERFLOOD BUSINESS SERVICES MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019**MiFID II RTS 28 Report (Retail Client)**

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	51.30 %	51.84 %	0.00 %	0.00 %	0.00 %
PEEL HUNT LLP 5493007DWN0R4YBM4C84	25.18 %	27.18 %	0.00 %	0.00 %	0.00 %
INVESTEC BANK PLC 84S0VF8TSMH0T6D4K848	6.99 %	3.39 %	0.00 %	0.00 %	0.00 %
CENKOS SECURITIES PLC 213800ALVRC52VN3I821	4.04 %	4.54 %	0.00 %	0.00 %	0.00 %
NUMIS SECURITIES LIMITED 213800P3F4RT97WDSX47	3.59 %	2.74 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

WINTERFLOOD BUSINESS SERVICES MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019**MiFID II RTS 28 Report (Retail Client)**

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	50.23 %	58.24 %	0.00 %	0.00 %	0.00 %
PEEL HUNT LLP 5493007DWN0R4YBM4C84	19.06 %	19.93 %	0.00 %	0.00 %	0.00 %
COMMERZBANK Aktiengesellschaft 851WYGNLUQLFZBSYGB56	18.89 %	10.92 %	0.00 %	0.00 %	0.00 %
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	5.07 %	6.41 %	0.00 %	0.00 %	0.00 %
VIRTU FINANCIAL IRELAND LIMITED 549300XG5LFGN1IGYC71	4.16 %	1.95 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

WINTERFLOOD BUSINESS SERVICES MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019**MiFID II RTS 28 Report (Retail Client)**

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	33.40 %	38.65 %	0.00 %	0.00 %	0.00 %
PEEL HUNT LLP 5493007DWN0R4YBM4C84	25.22 %	21.79 %	0.00 %	0.00 %	0.00 %
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	22.70 %	24.70 %	0.00 %	0.00 %	0.00 %
COMMERZBANK Aktiengesellschaft 851WYGNLUQLFZBSYGB56	13.66 %	12.31 %	0.00 %	0.00 %	0.00 %
INVESTEC BANK PLC 84S0VF8TSMH0T6D4K848	5.02 %	2.55 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

WINTERFLOOD BUSINESS SERVICES MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019**MiFID II RTS 28 Report (Retail Client)**

Class of Instrument	Debt instruments, Bonds				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	98.56 %	60.47 %	0.00 %	0.00 %	0.00 %
PEEL HUNT LLP 5493007DWN0R4YBM4C84	1.01 %	25.58 %	0.00 %	0.00 %	0.00 %
CANACCORD GENUITY LIMITED ZBU7V5NIMN4ILRFC23	0.43 %	13.95 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

WINTERFLOOD BUSINESS SERVICES MiFID II RTS 28 Reporting for 01/01/2019 to 31/12/2019**MiFID II RTS 28 Report (Retail Client)**

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
PEEL HUNT LLP 5493007DWN0R4YBM4C84	71.46 %	53.93 %	0.00 %	0.00 %	0.00 %
COMMERZBANK Aktiengesellschaft 851WYGNLUQLFZBSYGB56	25.42 %	33.71 %	0.00 %	0.00 %	0.00 %
Allianz Global Investors GmbH OJ2TIQSVQND4IZYYK658	1.84 %	1.12 %	0.00 %	0.00 %	0.00 %
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	1.28 %	11.24 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					